



## THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

DeWitt, Jr. et al.

Serial No: 10/675,778

Filed: September 30, 2003

Title: Method and Apparatus for Counting Data Accesses and Instruction Executions that Exceed a Threshold Value in a Data

**Processing System** 

Group Art Unit: 2183

Intellectual Property Law Department International Business Machines Corporation 11400 Burnet Road Austin, Texas 78758

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. 1.56.

While this Supplemental Information Disclosure Statement may be "material" pursuant to 37 C.F.R. 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 C.F.R. 1.97(g) the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56 (b) exists.

## DOCKET NUMBER AUS920030480US1

No item of information cited in this Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this Supplemental Information Disclosure Statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of this Supplemental Information Disclosure Statement.

In the event a fee is required in connection with the enclosed Supplemental Information Disclosure Statement, the Commissioner of Patents and Trademarks is authorized to charge Deposit Account No. 09-0447 for the necessary amount.

Respectfully submitted,

Gerald H. Glanzman Registration No. 25,035

Yee & Associates, P.C.

P.O. Box 802333

Dallas, Texas 75380

Telephone: (972) 385-8777

PTO/SB/(08-03)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office. U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

0 8 2000 Stitute for form 1449/PTO TON DISCLOSURE

Complete if Known				
Application Number	10/675,778			
Filing Date	09/30/2003			
First Named Inventor	DeWitt, Jr. et al.			
Examiner Name	Cody, Dillon J.			
Art Unit	2183			
Attorney Docket No.	AUS920030480US1			

		Attorney	DOCKEL NO. A0392003046003	) I		
Examiner Initials	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
AA	5,710,881	01-20-1998	Gupta et al.			
AB	5,822,763	10-13-1998	Baylor et al.			
AC	5,928,334	07-27-1999	Mandyam et al.	*		
AD	6,094,709	07-25-2000	Baylor et al.			
AE	6,285,974 B1	09-04-2001	Mandyam et al.			
AF	6,636,950 B1	10-21-2003	Mithal et al.			
AG	2004/0205302 A1	10-14-2004	Cantrill			
AH	5,797,019	08-18-1998	Levine et al.			
Al	6,549,998 B1	04-15-2003	Pekarich et al.			
AJ	2003/0101367 A1	05-29-2003	Bartfai et al.			
AK	5,113,507	05-12-1992	Jaeckel			
AL	6,681,387 B1	01-20-2004	Hwu et al.			
AM	6,925,424 B2	08-02-2005	Jones et al.			
		FOREIGN PATEN	T DOCUMENTS			
Examiner Initial	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
		NON PATENT I	_  LITERATURE			
Examiner Initial	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.					
AN	TANENBAUM, "Structured Computer Organization", 1984, Prentice-Hall, Inc., 2 <sup>nd</sup> Edition, pp. 10-12.					
AO	Transactions on Computers, vol. 43, No. 6, pp. 651-663.					
AP	ROTHMAN et al., "Analysis of Shared Memory Misses and Reference Patterns", 2000, IEEE, pp. 187-198.					
AQ	Wikipedia "JavaServer Pages" downloaded 1/24/06 http://en.wikipedia.org/wiki/JavaServer_Pages					
AR	"Hardware Cycle Based memory Residency", IBM, May 22, 2003, ip.com, IPCOM000012728D, pp. 1-2					
AS	HYDE, "The Art of Asser		nux Edition, pp. 247-248, retriev	ed Mar. 1, 2005 from		

Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.